

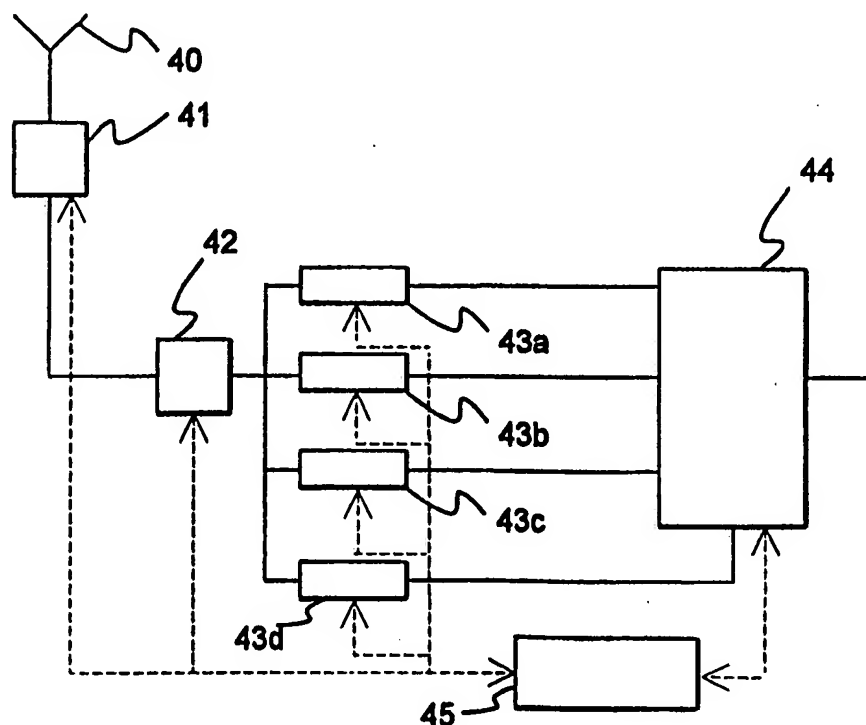


## INTERNATIONAL APPLICATION PUBLISHED UNDER THE PATENT COOPERATION TREATY (PCT)

<b>(51) International Patent Classification <sup>6</sup> :</b> <b>H04B 7/26, 1/69, H04J 13/00</b>	<b>A1</b>	<b>(11) International Publication Number:</b> <b>WO 95/14336</b> <b>(43) International Publication Date:</b> 26 May 1995 (26.05.95)
<b>(21) International Application Number:</b> PCT/FI94/00503 <b>(22) International Filing Date:</b> 9 November 1994 (09.11.94) <b>(30) Priority Data:</b> 934966 10 November 1993 (10.11.93) FI <b>(71) Applicant (for all designated States except US):</b> NOKIA TELECOMMUNICATIONS OY [FI/FI]; Mäkkylän puisto 1, FIN-02601 Espoo (FI). <b>(72) Inventor; and</b> <b>(75) Inventor/Applicant (for US only):</b> HOTTINEN, Ari [FI/FI]; Koulukatu 33-35 B 4, FIN-90100 Oulu (FI). <b>(74) Agent:</b> TEKNOPOLIS KOLSTER OY; c/o Oy Kolster Ab, Iso Roobertinkatu 23, P.O. Box 148, FIN-00121 Helsinki (FI).		<b>(81) Designated States:</b> AU, CN, DE, GB, JP, NO, US, European patent (AT, BE, CH, DE, DK, ES, FR, GB, GR, IE, IT, LU, MC, NL, PT, SE). <b>Published</b> <i>With international search report.</i> <i>Before the expiration of the time limit for amending the claims and to be republished in the event of the receipt of amendments.</i> <i>In English translation (filed in Finnish).</i>

**(54) Title:** RECEPTION METHOD AND CDMA RECEIVER**(57) Abstract**

The invention relates to a reception method to be used in a CDMA system and to a CDMA receiver, comprising an antenna (40), radio frequency parts (41), an A/D converter (42), an adaptive linear prestage (43a to 43d) and an adaptive non-linear detector means (44), the detector means (44) detecting several users' signals simultaneously and being (44) responsive to a received signal for correcting parameters to be used for detection to correspond to signal states of the received signal. For an optimal detection of the received signal, an output signal of the linear prestage (43a to 43d) of the receiver according to the invention supervises setting the parameters for the adaptive non-linear detector means (44).



**FOR THE PURPOSES OF INFORMATION ONLY**

Codes used to identify States party to the PCT on the front pages of pamphlets publishing international applications under the PCT.

AT	Austria	GB	United Kingdom	MR	Mauritania
AU	Australia	GE	Georgia	MW	Malawi
BB	Barbados	GN	Guinea	NE	Niger
BE	Belgium	GR	Greece	NL	Netherlands
BF	Burkina Faso	HU	Hungary	NO	Norway
BG	Bulgaria	IE	Ireland	NZ	New Zealand
BJ	Benin	IT	Italy	PL	Poland
BR	Brazil	JP	Japan	PT	Portugal
BY	Belarus	KE	Kenya	RO	Romania
CA	Canada	KG	Kyrgyzstan	RU	Russian Federation
CF	Central African Republic	KP	Democratic People's Republic of Korea	SD	Sudan
CG	Congo	KR	Republic of Korea	SE	Sweden
CH	Switzerland	KZ	Kazakhstan	SI	Slovenia
CI	Côte d'Ivoire	LI	Liechtenstein	SK	Slovakia
CM	Cameroon	LK	Sri Lanka	SN	Senegal
CN	China	LU	Luxembourg	TD	Chad
CS	Czechoslovakia	LV	Latvia	TG	Togo
CZ	Czech Republic	MC	Monaco	TJ	Tajikistan
DE	Germany	MD	Republic of Moldova	TT	Trinidad and Tobago
DK	Denmark	MG	Madagascar	UA	Ukraine
ES	Spain	ML	Mali	US	United States of America
FI	Finland	MN	Mongolia	UZ	Uzbekistan
FR	France			VN	Viet Nam
GA	Gabon				

## Reception method and CDMA receiver

The invention relates to a reception method to be used in a CDMA system, in which signals of several users are detected simultaneously from a received signal, an adaptive nonlinear decision rule is utilized for detection and parameters of a detector are supervised on the basis of the received signal to correspond better to signal states of the received signal.

The CDMA is a multiuser system based on spread-spectrum technique, the application of which system to cellular radio systems has started recently, besides the previous FDMA and TDMA systems. The CDMA has several advantages compared to the previous methods, such as simplicity of frequency planning and spectrum efficiency.

In the CDMA method, a narrow-band data signal of a user is multiplied to a relatively broad band by a spreading code having a considerably broader band than the data signal. Bandwidths used in known test systems are for instance 1,25 MHz, 10 MHz and 25 MHz. In connection with the multiplication, the data signal spreads to the whole band to be used. All users transmit simultaneously by using the same frequency band. Each connection between a base station and a mobile station uses its own spreading code and the signals of the users can be separated from each other in receivers on the basis of each user's spreading code. The purpose is to select the spreading codes in such a way that they are mutually orthogonal, i.e. they do not correlate with each other.

Correlators in a CDMA receiver implemented in a conventional manner are synchronized with a desired signal, which is detected on the basis of spreading code. The data signal is returned to the original band in the receiver by remultiplying it by the same spreading code as at the transmission stage. In an ideal case,

the signals multiplied by some other spreading code do not correlate and do not return to the narrow band. Thus they appear as noise with respect to the desired signal. Accordingly, the aim is to detect a desired user's signal from among several interfering signals. In practice, spreading codes are not decorrelatable and other users' signals make the detection of the desired signal more difficult by distorting the received signal nonlinearly. This interference caused by the users to each other is called a multiuser interference.

The single-user detection method described above is not optimal, because it ignores in connection with detection the information included in other users' signals. Additionally, conventional detection is not capable of correcting nonlinearities, which are partially caused by nonorthogonal spreading codes and a distortion of a signal on a radio path. An optimum receiver considers the information included in the signals of all users so that the signals may be detected optimally by using the Viterbi algorithm, for instance. An advantage of this detection method is that bit error ratio curves of the receiver resemble a situation of the single-user CDMA system with no multiuser interferences occurring. No near-far problem exists, for instance. A near-far problem is called a situation when a transmitter close to a receiver covers with its transmission the transmitters located farther away. The most serious deficiency of the Viterbi algorithm is that the computational intensity required increases exponentially with an increasing number of users. For instance, a ten-user system having a bit rate of 100 kbit/s using QPSK modulation would require 105 millions of measures per second for a computation of the likelihood function. This prevents in practice an implementation of the optimum receiver.

An optimum receiver can, however, be approximated by different methods. As prior art are known different kinds of methods for simultaneous multiuser detection. To the best known methods belong linear multiuser detector, decorrelating detector and multistage detector. These methods are described in more detail in the references Varanasi, Aazhang; Multistage detection for asynchronous code division multiple access communications, IEEE Transactions on Communications, vol 38, pp. 509-519, Apr 1990, Lupas, Verdu: Linear multiuser detectors for synchronous code-division multiple access channels, IEEE Transactions on Information Theory, vol 35, no. 1, pp. 123-136, Jan 1989, and Lupas, Verdu: Near-far resistance of multiuser detectors in asynchronous channels, IEEE Transactions on Communications, vol 38, Apr 1990. Other known multiuser detection methods are disclosed in U.S. Patents 5353300 and 5343496 referred to here. All these methods have, however, the drawback that they do not track changes taking place on a radio channel.

Accordingly, the present invention sets forth a novel manner of approximating an optimum receiver. The method in question is more resistant to interferences occurring both on transmission path and in transmitter. Traditional multiuser detection algorithms are fixed to a predetermined channel model, on the basis of which they have been designed. The method of the invention is not interested in a theoretical channel model, since the algorithm itself tends to model distortions occurred on the channel. The method is adapted to the prevailing situation, even if the origin of interferences were not known. For instance, a received signal may contain transmissions the spreading code of which is not known by the receiver. These may be e.g. transmissions monitored from the region of a neighbouring cell. The adap-

tation of the method is faster than that of the previous neural network applications.

This is achieved by means of a reception method of the type set forth in the preamble, which method is characterized in that the received signal is processed before detection at an adaptive linear prestage, which supervises setting the parameters for the adaptive non-linear detector.

The invention relates further to a CDMA receiver, comprising an antenna, radio frequency parts, an A/D converter, an adaptive linear prestage and an adaptive nonlinear detector means, the detector means detecting several users' signals simultaneously and being responsive to a received signal for correcting parameters to be used for detection to correspond to signal states of the received signal. The CDMA receiver according to the invention is characterized in that an output signal of the linear prestage supervises setting the parameters for the adaptive nonlinear detector means.

By means of the method of the invention, an optimum receiver can be approximated with a desired accuracy. The receiver according to the method adapts quickly and accurately to randomly time-varying propagation conditions on a radio path, which conditions distort a received signal. In such systems, the detector according to the invention adapts well by means of a very little amount of learning information. By combining several learning algorithms in such a way that the most suitable method for each situation is used, a very short learning time can be achieved. As to traditional neural network applications, a realization thereof has been prevented in practice by the length of the learning time.

Accordingly, in a preferred embodiment of the invention, the adaptive detector is realized by means of a neural network, such as an adaptive signal point system, in which each point of the signal point system corresponds to one possible combination of signals transmitted by several users. The points of the adaptive signal point system are positioned on right locations e.g. by means of a specific training period included in a received signal. In the preferred embodiment, the adaptive linear prestage supervising the detector performs an estimation of channel parameters. According to a second preferred embodiment, the points of the adaptive signal point system are counted in an unsupervised manner by means of a self-organizing map, for instance. According to a third preferred embodiment of the invention, both above-mentioned initialization methods of the signal point system can be used in optional order and alternately, if necessary. Further, decision feedback methods can be utilized for supervising the neural network.

In the following, the invention will be described in greater detail with reference to the examples according to the attached drawings, in which

Figures 1a and 1b illustrate the form of a received signal at matched filter outputs,

Figure 2 illustrates an example of points indicated by code vectors,

Figure 3 shows an example of computing the nearest code vector,

Figure 4 shows the structure of a receiver according to the invention,

Figures 5a and 5b illustrate the similarity of a traditional signal decision function and a hyperbolic tangent function and

Figures 6a and 6b illustrate an example of a one-layer and two-layer neural network.

5 Signals modulating in digital data transmission obtain only discrete values, such as  $\pm A_c$ ,  $\pm 3A_c$ , at sampling moments. Accordingly, these discrete values shall be identified in a receiver from an often distorted signal having crossed a radio path. Figure 1 shows an ideal undistorted signal pattern of two users, i.e. a point density function of received signals, where the peaks of the function are situated at crossed points. Each point of a two-dimensional pattern signifies one possible received signal value, which depends on the values of the signals transmitted by the users. For instance, point A1 could signify a situation (1,1), meaning that a first user has transmitted the value 1 and a second user the value 1. Correspondingly, point A2 could signify a situation (-1,1), meaning that the first user has transmitted the value -1 and the second user the value 1. Point A3 could signify a situation (-1,-1) and point A4 a situation (1,-1). If there were three users, the pattern would be three-dimensional, and the dimension of the pattern grows with an increasing number of users, respectively.

25 Figure 2 illustrates a distortion of a signal pattern caused by nonorthogonal codes and occurred on the radio path of a receiver at the output of spreading-code-matched filters. The peaks of the point density function have spread and moved due to the distortion. The received signal points have moved from their ideal locations, and the task of the receiver is to interpret the received signals to belong to some of the predetermined signal points.

30 If decisions were made fully linearly, plenty of faulty decisions would appear on account of the distorted point system, as is seen from Figure 2. By means

35



of the method according to the invention, it is possible to realize for instance piecewise linear decision boundaries, by which the optimal nonlinear detection can be approximated with a desired accuracy.

Suppose that the system has  $K$  users, i.e. CDMA transmitters, each of them having a specific spreading code of its own differing from the others

$$s_k(t) = \sum_{j=1}^N S_k^{(j)} \Pi_{T_c}(t - jT_c)$$

where the  $j$ th chip of the  $k$ th user's spreading code is marked,  $k = 1, 2, \dots, K$ .  $T_c$  is the length of the chip. The waveform of the  $k$ th user is restricted within  $[0, T_b]$ . Each user transmits in the same frequency band data symbols  $\epsilon A$  modulated by the specific spreading code of its own, where  $A$  is the used symbol alphabet. The task of the receiver is then to demodulate a signal, which is, by using e.g. BPSK modulation method, of the form

$$r(t) = \sum_{i=-P}^P \sum_{k=1}^K h_k(t) b_k^{(i)} s_k(t - iT - \tau_k) + n_t, \quad t \in R$$

where  $2P+1$  is the number of symbols to be transmitted,  $n_t$  is a noise term,  $T$  is the duration of the symbol and

$b_k^{(i)} \in \{-1, 1\}$  signifies the  $k$ th user's information bit in the  $i$ th time slot,  $\tau_k \in [0, T]$  signifies the  $k$ th user's time deviation and  $h_k(t)$  an impulse response of the  $k$ th user's physical channel. For the sake of clarity, it is assumed below that  $\tau_k = 0$ ,  $\forall k \in \{1, \dots, K\}$ , which means that the system is synchronous. However, the invention can be applied also to an asynchronous system in a corresponding manner.

Let us suppose further that the impulse response of a multipath channel is of the form

$$h_k(t) = \sum_{l=1}^L h_{k,l}(t) \delta(t - \tau_{k,l}(t))$$

where the  $k$ th user's  $l$ th complex channel tap is marked  $h_{k,l} \in \mathbb{C}$  and they are assumed to be either constants or fading as a function of time.

At multiuser detection, decisions on received signals are made simultaneously for all  $K$  users. In this example, it is supposed that the channel has Gaussian noise and the bits transmitted by all  $K$  users simultaneously at a predetermined moment are marked in a vector form  $b \in \{-1, 1\}^K$ . It is known that a maximum likelihood decision to be made in the receiver is based on a logarithmic likelihood function

$$b_{opt} = \arg_{b \in \{-1, 1\}^K} \max \{2y'b - b' H b\}$$

where  $H$  is a matrix of crosscorrelations between the used spreading codes, i.e.  $(H)_{ij} = \langle S_i, S_j \rangle$ ,  $i, j = 1, 2, \dots, K$  and the vector  $y$  comprises the matched filter outputs of the receiver. The above equation can be solved by means of a Viterbi type algorithm, but computational complexity prevents an implementation of an optimum receiver of this type in practice, as has been told earlier.

Accordingly, a signal received by the receiver has the above form  $r(t)$ . The signal can be processed e.g. by using filtering at chip frequency or filtering at symbol frequency. The first manner can be described by the formula

$$r[j] = \int_{jT_c}^{(j+1)T_c} r(t) dt$$

assuming that one sample per chip is taken. On the other hand, the latter manner is illustrated by the formula

$$z_{k,1}^{(1)} = \int_{-\infty}^{\infty} r(t) s_k(t - \tau_{k,1} - iT_b) dt$$

5 It will be explained below how the above output signals of filters can be described for certain neural network structures and how a neural network of the method according to the invention is supervised by means of learning algorithms and which advantages are achieved by the method of the invention.

10 Various decision rules differ from each other, as far as the used metric and searching algorithm are concerned, by which algorithm the state describing the signal best is searched for. Different metrics and distance measures are described in the reference Teuvo Kohonen: Self-Organization and Associative Memory, Springer-Verlag, Berlin-Heidelberg-New York-Tokio, 3rd edition, 1989, and as an example of those is given here a measure based on projection or filtering, where the vector  $m_{opt}$  modelling the signal state is the vector to which the received signal has the largest projection:

$$m_{opt} = \arg \min_i \frac{m_i^T x}{\|m_i\| \|x\|}$$

25 Another alternative is e.g. a so-called Mahalanobis distance or a weighted Euclidean metric, where

$$\begin{aligned} m_{opt} &= \arg \min \|m_i - x\|_{\Phi} \\ &= \arg \min \sqrt{(m_i - x)^T \Phi (m_i - x)} \end{aligned}$$

where  $\phi$  is a weighting coefficient given for the distance and depending on the correlations of the codes. Various decision rules and learning algorithms relating to them are used in the present invention in order to achieve the best result. It shall be mentioned that an optimum decision can be realized by the weighted Euclidean metric, but the complexity of the decision is very high. Referring to the above formulas, it is found that the vector  $x$  of this embodiment is e.g. of the form

$$x = [r[j-J], r[j-J+1], \dots, r[j+J]]^T,$$

where the different elements represent chip-matched filter outputs influencing the  $j$ th decision. Correspondingly, the form

$$x = (z_{1,1}, z_{1,2}, \dots, z_{K,L})^T,$$

can be used, where the elements are obtained from code-matched filter outputs.

Firstly, vector quantization neural networks are discussed, and subsequently, feed forward neural networks.

Vector Quantization Neural Network (VQNN) methods are often called generally by the term neural networks, since the used learning algorithms are considered to be neural.

The VQNN method utilizes a detection method based on an adaptive multidimensional signal point system. Received discrete signals are compared with the signal point system of the receiver and the received signal value is classified to belong to that point of the signal point system which is located at the shortest calculated distance. In a first preferred embodiment of the invention, the adaptive signal point system is corrected by means of a training period included in the received signal. In this manner, the receiver is capable

of adapting to a distortion of the received signal by  
distorting the signal point system, correspondingly.

The method described is called Learning Vector  
Quantization (LVQ) and it has been applied earlier in  
connection with pattern recognition problems. The method  
is described in more detail in the reference already  
mentioned Teuvo Kohonen: Self-Organization and Associa-  
tive Memory, Springer-Verlag, Berlin-Heidelberg-New  
York-Tokio, 3rd edition, 1989.

In another preferred learning algorithm, the  
receiver corrects the adaptive signal point system by  
means of a Self-Organizing Map (SOM). No separate train-  
ing period is then needed. This self-organizing map  
method has been applied earlier in connection with pat-  
tern recognition problems in the same way as the LVQ and  
it has been described in greater detail both in the re-  
ference mentioned above and in the references Teuvo Koho-  
nen: The Self-Organizing Map, Proceedings of The IEEE,  
78(9): pp. 1464-1480, 1990, Kohonen: Generalizations of  
the Self-Organizing Map, Proc. of the International  
Joint Conference on Neural Networks, IJCNN'93, Nagoya,  
Japan, October 25-29, 1993, Kohonen: Things You Haven't  
Heard about the Self-Organizing Map, Proceedings of the  
1993 IEEE Int. Conf. Neural Networks, San Francisco,  
USA, March 28- April 1, 1993, pp. 1147-1156, and Koho-  
nen, Raivio, Simula, Henriksson: Start-Up Behaviour of  
a Neural Network Assisted Decision Feedback Equalizer  
in a Two-Path Channel, Proc. of IEEE Int. Conf. on Com-  
munications, Chicago, USA, June 14-18, 1992, pp. 1523-  
1527.

In the VQNN methods, the detection thus util-  
izes a set of classification points and a received sig-  
nal is classified to the point which is considered to  
be nearest. The method or the decision rule by which the  
nearest classification point is determined can be

changed during detection. In a CDMA application, a set of nearest classification points can be calculated by projection, for instance, and the final decision by means of a weighted (Euclidean) metric. Such a multi-  
 5 phase solution is computationally efficient in CDMA applications, where the number of possible classes is large.

If the channel parameters and the used spreading codes are known by the receiver and the receiver  
 10 uses code-matched filters, code vectors can be determined a priori by the formula

$$M = RWB,$$

where  $W$  is a diagonal matrix consisting of complex tap coefficients multiplied by signal energies,  $B$  is a  
 15 matrix of bit combinations (a preferred representation includes  $K$  linearly independent bit vectors) and  $R$  is a matrix of crosscorrelations. Accordingly, if the delays of the codes are known and there is no need for code tracking, the representation is very simple. If the  
 20 code delays are not known, as is the case in a cellular radio system, a receiver prestage can be used, consisting of a number of  $N$ -dimensional matched filters, which span a signal space, but are not necessarily matched to the spreading codes or to the above-mentioned chip-  
 25 matched filtering.

Accordingly, an initialization of a VQNN network can be performed in the method of the invention by means of an adaptive prestage calculating the channel parameters, on the basis of which are obtained good  
 30 initial values for the network before the actual training starts. Training can thus be speeded up considerably compared to the previous methods.

The LVQ and SOM methods will be described below by way of example from the point of view of the method according to the present invention.

5 An optimum CDMA receiver simultaneously used by several users functions nonlinearly, responsive to sufficient statistics given by spreading-code-matched filters. In this context, the LVQ and SOM methods can be used for estimating optimal Bayesian decision bound-  
10 aries. The Bayesian decision boundaries separate the classes from each other in such a way that as few errors as possible occur.

Each possible discrete signal state can be considered to constitute its own class  $\omega_k$ . Each class is determined by a number of code vectors, the dimension  
15 of which can be determined depending on application. In a synchronous CDMA, the dimension of the code vectors can be for instance the same as the number of users. In an asynchronous CDMA, the dimension is optimally  $K(P+1)$ , but the number of computations will then grow high. As  
20 per situation, a suboptimum information arrived during symbol time  $[0, T]$  can also be accepted, the dimension of the code vector being then e.g.  $2(K-1)$ .

The number of code vectors per class depends on the approximation accuracy desired. If there is only  
25 one code vector in each class, the decision boundaries are linear. The more code vectors have been set, the more accurately the decision making approximates the optimum receiver, the decision boundaries being piece-  
30 wise linear and the complexity increasing with an increasing number of code vectors. Each class can also contain a different number of code vectors. Each code vector indicates some point representing the class. After a preliminary number of code vectors has been set  
35 for each class, the system adjusts the code vectors to indicate some point preliminarily. Channel parameters

can be utilized for selecting this preliminary point. During signal detection, the system adjusts the code vectors to indicate the optimal point at each moment.

Assume that a certain number  $I$  of code vectors has been set for the system. All users' discrete signal samples to be received from matched filter outputs are marked by a vector  $y$  at each moment. Code vectors are marked  $m_i$ ,  $i=1, \dots, I$ . The code vector  $m_c$  which is closest to the signal sample  $y$  is obtained e.g. by calculating an Euclidean distance

$$c = \arg \min_i \{\|y - m_i\|\}.$$

The above manner of distance calculation is only one possible method for determining a distance. Other manners have been set forth in the references cited above. In the method according to the invention, the manner of calculating the nearest code vector can be changed during symbol detection, as has been explained previously already. The distance metric or decision rule to be used may be changed e.g. on the basis of received signal, channel properties or receiver stage.

Code vectors  $m_i$  are now corrected on the basis of a received signal sample according to the following formulas, for instance:

$$\begin{aligned} m_c(t+1) &= m_c(t) + \alpha_c(t)(y(t) - m_c(t)), \text{ if } y, m_c \in \omega_i \\ m_c(t+1) &= m_c(t) - \alpha_c(t)(y(t) - m_c(t)), \text{ if } y \in \omega_j, \\ &m_c \in \omega_j, i \neq j \\ m_i(t+1) &= m_i(t) \quad \quad \quad , \text{ otherwise} \end{aligned}$$



Accordingly, the uppermost equation in the above group of equations deals with a case when a signal sample has been classified right, the second equation a situation when a signal sample has been classified wrong. Other  
5 correction equations are presented in the references cited above. Individual learning coefficients  $\alpha$  can be determined for instance as follows:

$$\alpha_c(t) = \frac{\alpha_c(t-1)}{1+s(t)\alpha_c(t-1)}$$

10

where  $s(t) = 1$  for the right classification and  $-1$  for the wrong classification. The points of the signal point system thus adapt according to the received signal and the decision making accuracy is maintained, though the  
15 signal is distorted and the distortion varies as a function of time.

A signal received according to the LVQ contains a learning period, according to which the neighbourhood can be adjusted.

20

In the two-dimensional example of Figure 1a, each signal point  $A_1..A_4$  can be considered to constitute its own class  $\omega_x$ . For instance five code vectors can be selected to represent each class  $A_1..A_4$ , figure 2 showing the points indicated by the vectors. Figure 3 illustrates a calculation of the nearest code vector. A  
25 received signal is a vector 20, and distances between the code vectors and the vector 20 are calculated according to the method. Distance vectors 23 and 24 between the received vector 20 and the code vectors 21 and 22 are drawn in Figure 3 as an example. The shortest of them  
30 is selected, which is 24 in the case of the figure. In

this way the system classifies that the vector 20 belongs to the class represented by the code vector 22.

In the method based on a self-organizing map, the learning process, i.e. the correction of code vectors, differs from the LVQ therein that a received signal does not include any specific learning period, but the self-organizing map groups the code vectors directly on the basis of the received signal to a location where the number of received signal points is higher. Consequently, it adapts automatically. The signal points are not divided into classes either, as in the LVQ. A topological neighbourhood  $N_c$  of a point indicated by a code vector  $m_c$  is constituted by surrounding neighbouring points at a desired depth. A correction of the location of the neighbourhood of the received code vector can now be performed for instance on the basis of the following equations:

$$m_i(t+1) = m_i(t) + \alpha(y(t) - m_i(t)), \quad i=c$$

$$m_i(t+1) = m_i(t) + \beta(y(t) - m_i(t)), \quad i \in N_c, i \neq c$$

$$m_i(t+1) = m_i(t), \quad i \notin N_c$$

Other correction equations have been set forth in the references specified above.

Accordingly, the points of the adaptive signal point system can be corrected to their right locations either by means of the LVQ or the SOM. It is also possible to use both above methods alternately. For instance, the signal points can be accumulated on their right locations by utilizing a self-organizing map, and subsequently, the class points for the LVQ are determined by means of training vectors. After this, the receiver may use the SOM for keeping the signal points on their cor-

rect locations. Correspondingly, if there exists a preliminary estimate for the class points, the LVQ method can be used in the beginning, and in case of a change of channel, for instance, the data can be accumulated again by the SOM method. If necessary, the code vectors are classified by means of a training set.

A drawback of a signal point system of above type is that the size of the signal point system can be very large, whereby searching for the optimal signal point system is complicated. However, the searching can be concentrated only on a small subset, as follows:

1) A suboptimal decision is initially made on part of the dimensions of a vector  $x$ . The suboptimal decision can be realized by means of a filter bank or a decorrelator, for instance. 2) A complete searching for the vector  $x$  is performed in such a way that part of the dimensions are fixed at step 1). At multiuser detection, strong signals and the dimensions of the vector  $x$  corresponding to those signals can preferably be detected suboptimally and an optimal searching based on Euclidean metric can be performed for the weakest users only.

Subsequently, an alternative manner of realizing an adaptive nonlinear decision is described. An essential difference with respect to the above is that here the detection is based on nonlinear filtering and the training is based on minimizing a mean square error by a nonlinear algorithm. Nonlinear detector training can here be initialized by means of a linear adaptive prestage in such a way that the training is speeded up essentially. Based on LMS or MMSE criteria, the adaptive linear prestage can, for instance, estimate receiver filters, from which can be calculated a correlation matrix, which is, in turn, utilized for nonlinear detection.

A neural network structure of another type is discussed next, to which the reception method according to the invention can be applied. Feed forward neural network refers to a non-cyclic network, in which an input vector  $x_{in} \in R^d$  is mapped to an output vector  $x_{out} \in R^q$  according to certain weighting coefficients  $W_{ij}$  and possible nonlinearities  $\delta_{k1}$ . Parameters  $d$ ,  $l$  and  $q$  define the dimensions of input, hidden and output layer.

It is previously known that a cell of a neural network calculates the output value by means of the formula

$$x_i = f\left(\sum_j W_{ij}x_j\right) + bias$$

where  $f$  is some continuously differentiable nonlinear function, such as

$$f(x) = \tanh(x) = \frac{e^x - e^{-x}}{e^x + e^{-x}}$$

or

$$f(x) = \frac{1}{1 + e^{-x}}$$

The hyperbolic tangent  $f(x) = \tanh(x)$  is a particularly suitable nonlinear element, since it is close to a traditional detector based on signal decision. This is illustrated in Figures 5a and 5b, Figure 5a showing said function  $y = \text{sgn}(x)$  making a traditional signal decision and Figure 5b showing the function  $y = \tanh(x)$ .

The above-mentioned formula of the output value can be written in the form

$$x_i = f(W_k^T x) + bias$$

This corresponds to a conventional matched filter pre-stage, for which  $W_k^T \equiv S_k$ . The above formulas are intended for real input signals and they can also be ap-

plied in a complex space, which is typically used in telecommunication applications in such a way that the number of input connections is doubled (separate connections for the real and imaginary parts of each user).  
 5 Another alternative is to use complex neurons and a corresponding learning rule, as explained in the reference N. Benvenuto, M. Marchesi, F. Piazza, A. Uncini: A comparison between real and complexvalued neural networks in communication applications, Proc. Int. Conf. Artificial Neural Networks, Espoo, Finland, June 1991, pp. 1177-1180.

10 A multilayer neural network is assembled by connecting neuron outputs of layer  $i$  to inputs of layer  $i+1$ . Figure 6a illustrates one neuron and Figure 6b a two-layer network.

15 In case of a two-layer network, a mapping of an input vector takes place according to the following equations:

$$net_1 = W_1 \begin{bmatrix} 1 \\ x \end{bmatrix}$$

$$out_1 = f(net_1)$$

$$net_2 = W_2 \begin{bmatrix} 1 \\ out_1 \end{bmatrix}$$

$$y = f(net_2)$$

25 where  $W_1$ ,  $W_2$ , and  $f$  are determined as above,  $net_1$  and  $out_1$  map a calculation of a first layer,  $net_2$  and  $y$  a calculation of a second layer, when  $y$  is the output value of the network. When the input values are in matrix form, the network maps the input data according to the following formulas:

$$N_1 = W_1 X,$$

$$O_1 = \begin{bmatrix} 1 & \dot{f}(N_1) & 1 \end{bmatrix}$$

$$N_2 = W_2 O_1,$$

$$Y = f(N_2),$$

where  $f$  is a suitable nonlinear function and  $W_1$  and  $W_2$  are weight matrices of the layers.

The mapping of a neural network is marked by  $g()$  as follows:

$$g : R^i \times R^p \rightarrow R^o$$

$$y = g(x, w)$$

where  $i$ ,  $p$  and  $o$  signify dimensions of input, hidden and output layer and the vector  $w$  comprises the components of the matrices  $W_1$  and  $W_2$  mentioned previously. Neural network training comprises setting the vector  $w$  (or the matrices  $W_1$  and  $W_2$ , respectively) in such a way that the mapping performed by the network corresponds to the desired mapping as accurately as possible. In a telecommunication application, the aim is thus to train the network in such a way that the received signal can be detected as faultlessly as possible by means of the mapping. The correctness of the mapping can be measured typically by a mean square error:

$$\begin{aligned} E &= \frac{1}{n} \sum_{i=1}^n \|g(x_i, w) - t_i\|^2 \\ &= \frac{1}{n} \sum_{i=1}^n \|y_i - t_i\|^2 \\ &= \frac{1}{n} \|Y - T\|_F^2 \end{aligned}$$

where  $(x_i, t_i), i=1, \dots, N$  is a set of training and target vector pairs. Target vectors are known values, by means of which the network can be trained. With large dimensions, a calculation according to the above formula requires a high capacity.

For feed forward network training, a plurality of methods have been developed, such as e.g. backpropagation training utilizing a gradient method for minimizing the error function. In the gradient method, a gradient of the error function with respect to the weight function  $w$  is calculated, on the basis of which gradient the weight function is updated:

$$w_{\text{new}} = w_{\text{old}} + \Gamma \nabla E(w),$$

where  $\Gamma$  determines the size of correction step.

For the present invention, it is not essential as such which training method is used after the initial values have been set. Other possible training methods are disclosed in the references R. Battiti: Accelerated backpropagation learning: Two optimization methods, Complex Systems 3, pp. 331-342, 1989, Poggio, Girosi: A theory of networks for approximation and learning, MIT memo no: 1140, 1989 and J.F. Shepanski: Fast learning in Artificial Neural Systems: Multilayer perception training using optimal estimation, ICNN, 1988.

Neural network training is typically started by setting random values for weight coefficients, which values will then be trained towards the correct values. The method of the invention utilizes an adaptive pre-stage giving information, e.g. channel parameters, by which information initial values corresponding better to the real situation can be set for the weight coefficients, and in this manner, the actual training can be speeded up considerably. This corresponds to the situ-

ation described earlier in connection with VQNN networks when initial values were set for the code vectors.

By using the previously presented two-layer network as an example, it is also possible to give the values of the weight coefficient matrix  $W_1$  randomly and to calculate the matrix  $W_2$  in the manner mentioned in the above Shepanski reference, for instance. When known input values  $X$ , to which correspond output values  $Y$ , and known target values  $T$  are used, a minimization is necessary

$$\|T - Y\|_F^2 = \|T - f(W_2 O_1)\|_F^2$$

or alternatively

$$\|W_2 O_1 - f^{-1}(T)\|_F^2$$

The above equations do not necessarily lead to the same final result. The solution of the latter equation is of the form

$$W_2 = f^{-1}(T) O_1^*$$

where  $O_1^*$  is a pseudoinverse matrix of  $O_1$ . This approach does not give the optimal weight matrices, but it produces the fastest learning in general. It is also possible to apply linear regression techniques to every gradient iteration when the value of the matrix  $W_1$  is updated and to update the  $W_2$  by means of linear regression.

One way of initializing a network is to utilize a conventional decorrelating detector for calculating the weighting coefficients of the first network layer. The weighting coefficients of the second layer can be calculated by the backpropagation method or by the Shepansky method. Further, it is possible to update the weighting coefficients obtained by means of the decorrelating detector of the first layer by the backpropaga-



tion method. The known training methods of the network have already been described previously.

In the reception method according to the invention, the learning can be speeded up considerably by using known learning methods in a novel manner, which is especially suitable for a telecommunication application. A network training is performed assuming initially that the network does not contain nonlinearities in the hidden layer. Once sufficiently good initial values have been obtained, the nonlinear elements of the hidden layer are taken into consideration and the following network layers are trained for instance recursively. In the method of the invention, a training period can be used in each phase, though a specific advantage of the invention consists in that long training periods are not needed.

If a set of matched filters is used as a detector prestage, initial weighting coefficient estimates can be mapped to the next layers in a CDMA application directly by means of the formula  $W_i = W_0 - I$ , where  $I$  refers to an identity matrix having the same dimension as  $W$ . After the initialization, it may be proceeded to decision feedback training.

Figure 4 illustrates the structure of a CDMA receiver according to the invention, the receiver being in this example a base station receiver. However, the invention is suitable for being used also in a mobile station, respectively. The receiver comprises an antenna 40, by which a received signal is brought via radio frequency parts 41 to an A/D converter 42. The converted signal is brought to means 43a to 43d preprocessing the received signal. In a preferred embodiment of the invention, the means perform an estimation of channel parameters. The means may be realized e.g. by RAKE receivers,

each of them receiving a signal transmitted by one user. The receiver additionally comprises a control unit 45 controlling the operation of the device. Each RAKE receiver includes several separate correlators, each of them capable of receiving one multipath propagated signal component. These received signal components are preferably combined in a RAKE receiver. The structure of the RAKE receiver has been described in more detail in the reference G. Cooper, C. McGillem: Modern Communications And Spread Spectrum, McGraw-Hill, New York 1986, Section 12.

Consequently, each RAKE receiver 43a to 43d receives one user's signal (and its multipath propagated components). From each RAKE receiver, the signal is brought to an adaptive detector 44 detecting the received multiuser signals simultaneously by utilizing an adaptive nonlinear decision rule and the above-described initialization and training methods according to the invention.

Accordingly, the receiver of the invention can be realized also without RAKE receivers. The efficiency of the LVQ and SOM methods is sufficient as such in a multipath case, if the dimension of the code vectors is increased to correspond to the spreading caused by an impulse response. This concerns also a feed forward neural network.

Also some other linear or nonlinear conversion of a received signal may be preformed in the preprocessing means, such as multiplication by decorrelating matrix, which leads to a decision statistics of the decorrelating detector.

Though the invention has been described above referring to the examples of the attached drawings, it is obvious that the invention is not restricted to them, but it can be modified in many ways within the scope of

the inventive idea set forth in the attached claims. For instance, neural networks of different types can be cascade connected in a desired manner so that a training as efficient as possible and a decision rule as simple and good as possible can be provided.

## Claims:

1. A reception method to be used in a CDMA system, in which signals of several users are detected simultaneously from a received signal, an adaptive non-linear decision rule is utilized for detection and parameters of a detector are supervised on the basis of the received signal to correspond better to signal states of the received signal, characterized in that the received signal is processed before detection at an adaptive linear prestage, which supervises setting the parameters for the adaptive non-linear detector.
2. A method according to claim 1, characterized in that the adaptive linear prestage performs an estimation of channel parameters.
3. A method according to claim 2, characterized in that predecisions are calculated for the nonlinear detector on the basis of the channel parameters.
4. A method according to claim 1, characterized in that the decision rule to be used in the adaptive nonlinear detector and a training algorithm suitable therefor are changed on the basis of the received signal and the stage of the receiver.
5. A method according to claim 1, characterized in that the decision rule to be used in the adaptive nonlinear detector and the training algorithm suitable therefor are initialized on the basis of the channel parameters.
6. A method according to claim 1, characterized in that the parameters of the adaptive nonlinear detector are supervised on the principle of learning vector quantization.
7. A method according to claim 1, characterized in that the parameters of the adap-

tive nonlinear detector are supervised by a self-organizing map.

5           8. A method according to claim 1, c h a r -  
a c t e r i z e d in that the parameters of the adap-  
tive nonlinear detector are supervised by means of deci-  
sion feedback.

          9. A method according to claim 1, c h a r -  
a c t e r i z e d in that the adaptive nonlinear detec-  
tor is realized by means of a neural network.

10          10. A method according to claim 9, c h a r -  
a c t e r i z e d in that the output of a nonlinear  
neuron depends on the distance between a signal point  
modelling the neuron and a signal arriving at the neuron  
input.

15          11. A method according to claim 1, c h a r -  
a c t e r i z e d in that the adaptive nonlinear detec-  
tor is realized by means of an adaptive multidimensional  
signal point system.

20          12. A method according to claim 11, c h a r -  
a c t e r i z e d in that each point of the adaptive  
signal point system represents one possible combination  
of signals transmitted by several users.

25          13. A method according to claim 12, c h a r -  
a c t e r i z e d in that a received symbol is classi-  
fied to a signal point which is closest to the received  
symbol.

30          14. A method according to claim 13, c h a r -  
a c t e r i z e d in that the nearest signal point is  
searched for in at least two steps in such a way that  
in the first step is used a suboptimal linear decision  
rule, by which the possible signal points are reduced,  
and that the signal point corresponding best to the re-  
ceived symbol is searched for in the reduced signal  
point system by means of an optimal distance measure.

15. A CDMA receiver, comprising an antenna (40), radio frequency parts (41), an A/D converter (42), an adaptive linear prestage (43a to 43d) and an adaptive non-linear detector means (44), the detector means (44) detecting several users' signals simultaneously and being (44) responsive to a received signal for correcting parameters to be used for detection to correspond to signal states of the received signal, c h a r a c t e r i z e d in that an output signal of the linear prestage (43a to 43d) supervises setting the parameters for the adaptive nonlinear detector means (44).

16. A receiver according to claim 15, c h a r a c t e r i z e d in that the adaptive linear prestage (43a to 43d) performs estimation of channel parameters.

17. A receiver according to claim 15, c h a r a c t e r i z e d in that the receiver comprises means (44) for supervising parameters of a decision rule to be used for detection by means of a self-organizing map.

18. A receiver according to claim 15, c h a r a c t e r i z e d in that the receiver comprises means (44) for supervising the parameters of the decision rule to be used for detection on the principle of learning vector quantization.

19. A receiver according to claim 15, c h a r a c t e r i z e d in that the receiver comprises means (44) for supervising the parameters of the decision rule to be used for detection by means of decision feedback.

1/2

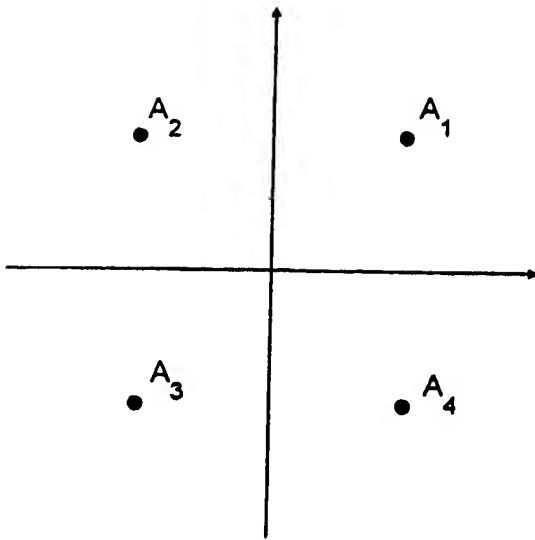


FIG. 1a

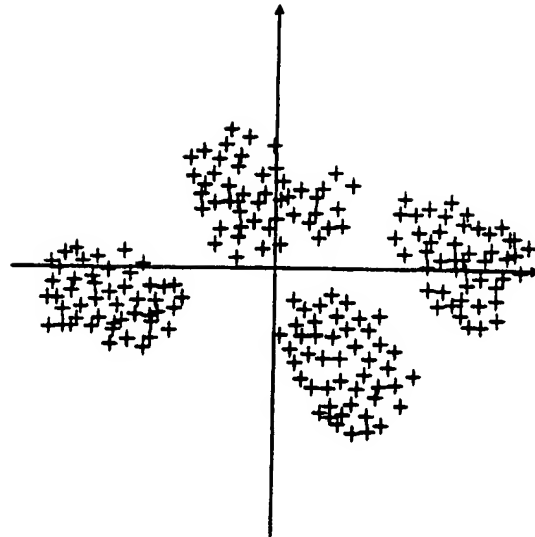


FIG. 1b

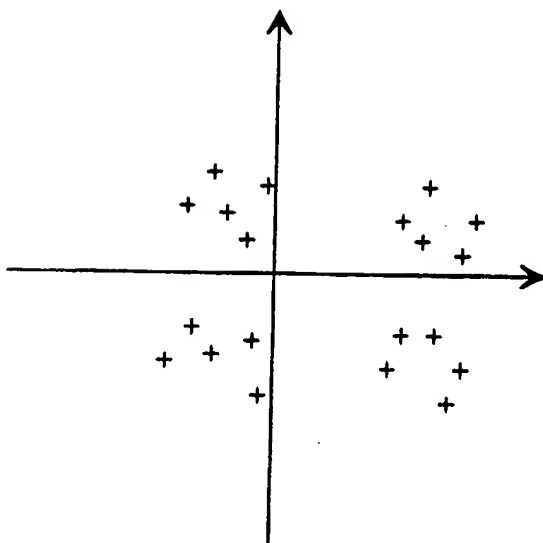


FIG. 2

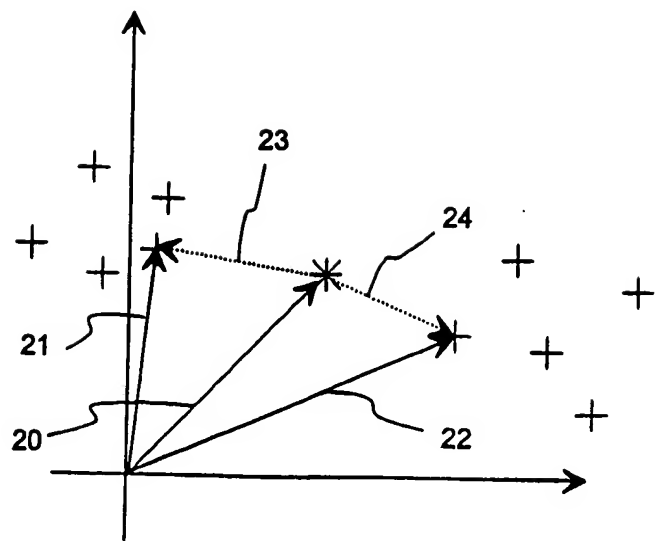


FIG. 3

FIG. 4

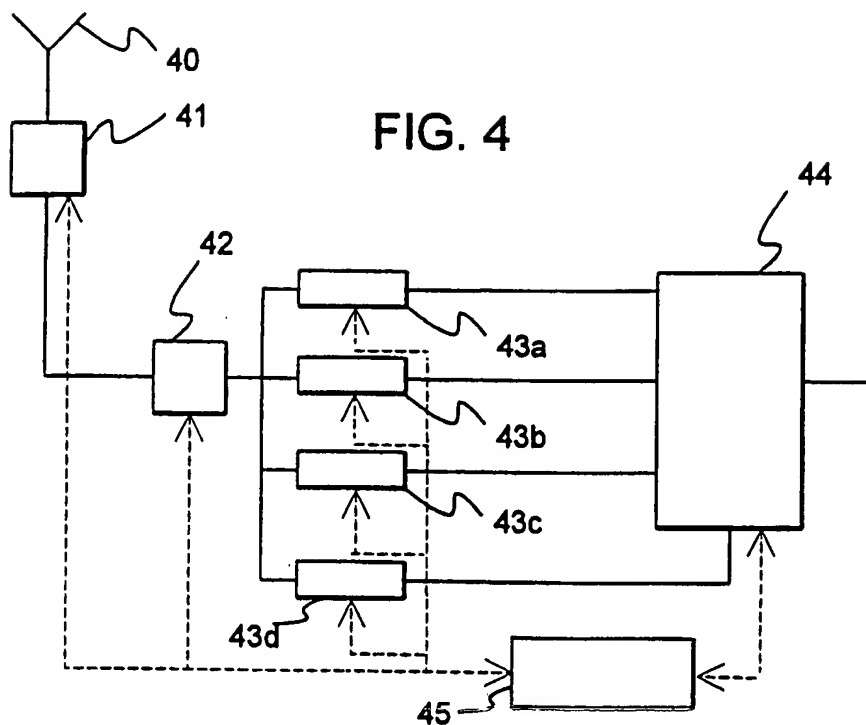
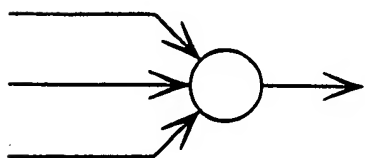


FIG. 6a



**FIG. 6b**

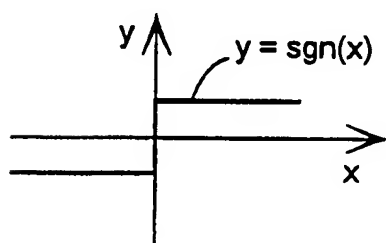
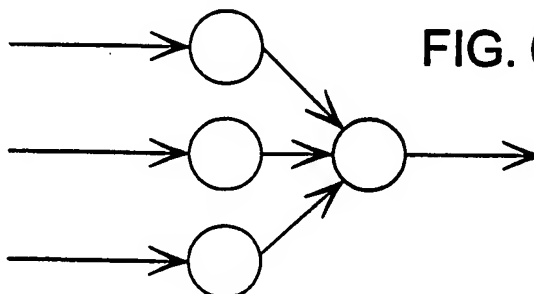
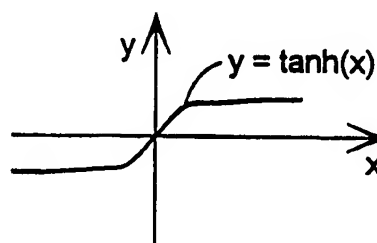


FIG. 5a



**FIG. 5b**



## INTERNATIONAL SEARCH REPORT

International application No.

PCT/FI 94/00503

## A. CLASSIFICATION OF SUBJECT MATTER

IPC6: H04B 7/26, H04B 1/69, H04J 13/00

According to International Patent Classification (IPC) or to both national classification and IPC

## B. FIELDS SEARCHED

Minimum documentation searched (classification system followed by classification symbols)

IPC6: H04B

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched

SE,DK,FI,NO classes as above

Electronic data base consulted during the international search (name of data base and, where practicable, search terms used)

EPODOC, PAJ

## C. DOCUMENTS CONSIDERED TO BE RELEVANT

Category*	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
Y	IEEE TRANSACTIONS ON COMMUNICATIONS, Volume 40, No 7, July 1992, Behnaam Aazhang et al, "Neural Networks for Multiuser Detection in Code-Division Multiple-Access Communications", page 1212 - page 1222, figures 2-3, abstract, section III p. 1213-1215, section V p. 1217-1218  --	1-19
Y	US, A, 4894842 (PAUL V. BROEKHOVEN ET AL), 16 January 1990 (16.01.90), column 2, line 8 - line 64; column 3, line 19 - line 52; column 10, line 4 - line 61, abstract  --	1-19

☒ Further documents are listed in the continuation of Box C.☒ See patent family annex.

## \* Special categories of cited documents:

- "A" document defining the general state of the art which is not considered to be of particular relevance
- "B" earlier document but published on or after the international filing date
- "L" document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified)
- "O" document referring to an oral disclosure, use, exhibition or other means
- "P" document published prior to the international filing date but later than the priority date claimed

"T" later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention

"X" document of particular relevance: the claimed invention cannot be considered novel or cannot be considered to involve an inventive step when the document is taken alone

"Y" document of particular relevance: the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art

"&" document member of the same patent family

Date of the actual completion of the international search

27 April 1995

Date of mailing of the international search report

27 -04- 1995

Name and mailing address of the ISA/  
Swedish Patent Office  
Box 5055, S-102 42 STOCKHOLM  
Facsimile No. +46 8 666 02 86

Authorized officer

Elisabet Aselius  
Telephone No. +46 8 782 25 00

## INTERNATIONAL SEARCH REPORT

International application No.

PCT/FI 94/00503

## C (Continuation). DOCUMENTS CONSIDERED TO BE RELEVANT

Category*	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
A	IEICE TRANS. COMMUN., Volume E76-B, No 8, August 1993, T. Miyajima et al, "On the Multiuser Detection Using a Neural Network in Code-Division Multiple-Access Communications", see whole document --	1-19
A	US, A, 5136612 (QI BI), 4 August 1992 (04.08.92), figure 7, abstract --	1-19
P,A	US, A, 5268927 (GEORGE DIMOS ET AL), 7 December 1993 (07.12.93), abstract --	1-19
A	IEEE TRANSACTIONS ON INFORMATION THEORY, Volume 35, No 1, January 1989, Ruxandra Lupas et al, "Linear Multiuser Detectors for Synchronous Code-Division Multiple-Access Channels", see whole document --	1-19
A	US, A, 5237586 (GREGORY E. BOTTOMLEY), 17 August 1993 (17.08.93), abstract -- -----	1-19

**INTERNATIONAL SEARCH REPORT**  
Information on patent family members

01/04/95

International application No.

PCT/FI 94/00503

Patent document cited in search report		Publication date	Patent family member(s)		Publication date
US-A-	4894842	16/01/90	NONE		
US-A-	5136612	04/08/92	EP-A, A-	0493904	08/07/92
			JP-A-	4296126	20/10/92
US-A-	5268927	07/12/93	NONE		
US-A-	5237586	17/08/93	AU-A-	3936793	21/10/93
			BR-A-	9305450	08/11/94
			CA-A-	2109947	30/09/93
			EP-A, A-	0563020	29/09/93
			FI-D-	935223	00/00/00
			JP-T-	6510411	17/11/94
			WO-A-	9319552	30/09/93

This Page Blank (USP)